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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lu et al.
Appl. No. : 10/811,153
Filed : March 29, 2004
Title : LIGHT-EMITTING DEVICES WITH FULLERENE LAYER

Grp./A.U. : 1774
Examiner : Ferguson, Lawrence D.

Docket No.: 14657

Honorable Commissioner of Patents
Alexandria, VA 22313-1450

Sir:

PTO CUSTOMER NO. 000293

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. §§ 1.97-1.99, Applicants submit the following information which may be of interest to the Examiner in charge of the above-referenced application for patent. Copies of the foreign patent documents and of the non-patent literature references listed on the attached Form PTO-1449, List of Prior Art Cited by Applicant, are attached.

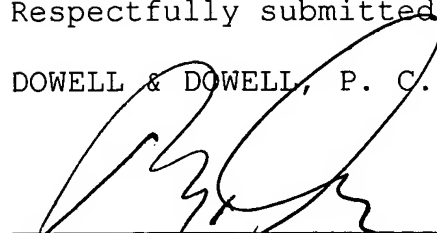
With regard to the documents that are not in the English language, English translations of the Abstracts or copies of counterpart applications published in the English language are also submitted to provide a concise explanation of each document.

Lastly, since this information is being submitted before a first Office action on the merits of the application, it is believed that no fees are due at this time.

Appl. No. 10/811,153

Respectfully submitted,

DOWELL & DOWELL, P. C.

A large, stylized handwritten signature in black ink, appearing to read 'R. Dowell', is written over a horizontal line.

Ralph A. Dowell, Reg. No.: 26,868

Date: November 9, 2006

DOWELL & DOWELL, P.C.
Suite 406, 2111 Eisenhower Ave.
Alexandria, VA 22314
Telephone: (703) 415-2555

FORM PTO-1449
(Rev. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No.

14657

Serial No.

10/811,153

LIST OF PRIOR ART CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANT Lu et al.

FILING DATE 3/29/04

GROUP 1774

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|----------|------------|-------|----------|----------------------------------|
| | AA | 2001/0046612 | 11/29/01 | Lee et al. | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|---------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AH | JP 2001-006878 | 1/12/01 | Japan | | | | |
| | AI | JP 11-191490 | 7/13/99 | Japan | | | | |
| | AJ | JP 2002-175888 | 6/21/02 | Japan | | | | |
| | AK | JP 2003-082341 | 3/19/03 | Japan | | | | |
| | AL | EP 1 017 118 | 7/5/00 | Europe | | | | |
| | AM | JP 2000-196140 | 7/14/00 | Japan | | | | |
| | AN | JP 2002-289358 | 10/4/02 | Japan | | | | |
| | AO | JP 2001-220380 | 8/14/01 | Japan | | | | |
| | AP | EP 1 434 469 | 6/30/04 | Europe | | | | |
| | AQ | WO 03/017730 | 2/27/03 | WO | | | | |

OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

AR

AS

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 602; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.